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02/27/02

UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>		Attorney Docket No. 520.41285X00 First Inventor KIKUCHI, NOBUHIKO Title WAVELENGTH-MULTIPLEXED NARROW-BANDWIDTH OPTICAL, TRANSMITTER AND WAVELENGTH-MULTIPLEXED VESTIGIAL-SIDE-BAND OPTICAL TRANSMITTER Express Mail Label No.																										
APPLICATION ELEMENTS <i>SEE MPEP chapter 600 concerning utility patent application contents.</i>		ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231																										
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i></p> <p>2. <input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages: 81] <i>(preferred arrangement set forth below)</i> -Descriptive title of the invention -Cross Reference to Related Applications -Statement Regarding Fed sponsored R & D -Reference to sequence listing, a table, or a computer program listing appendix -Background of the Invention -Brief Summary of the Invention -Brief Description of the Drawings (if filed) -Detailed Description -Claim(s) -Abstract of the Disclosure</p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Pages: 12]</p> <p>5. Oath or Declaration [Total Pages: _____] a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <i>(for continuation/divisional with Box 18 completed)</i> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) Named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</p> <p>6. <input type="checkbox"/> Application Data Sheet See 37 CFR 1.76</p> <p>18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No _____</p> <p>Prior application information: Examiner _____ Group Art Unit _____</p> <p>For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.</p> <p>19. CORRESPONDENCE ADDRESS</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 30%; padding: 5px;"> <input checked="" type="checkbox"/> Customer Number or Bar Code Label <small>(Insert Customer No. or Attach bar code label here)</small> </td> <td style="width: 40%; padding: 5px; text-align: center;"> 020457 </td> <td style="width: 30%; padding: 5px;"> or <input type="checkbox"/> Correspondence address below </td> </tr> <tr> <td colspan="3" style="padding: 5px;"> Name ANTONELLI, TERRY, STOUT & KRAUS, LLP </td> </tr> <tr> <td colspan="2" style="padding: 5px;"> Address </td> <td></td> </tr> <tr> <td colspan="2" style="padding: 5px;"> City </td> <td style="text-align: center; padding: 5px;"> State _____ </td> <td style="text-align: center; padding: 5px;"> Zip Code _____ </td> </tr> <tr> <td colspan="2" style="padding: 5px;"> Country </td> <td style="text-align: center; padding: 5px;"> Telephone (703) 312-6600 </td> <td style="text-align: center; padding: 5px;"> Fax (703) 312-6666 </td> </tr> <tr> <td>Name</td> <td colspan="2" style="padding: 5px;"> Carl L. Brundidge </td> <td style="padding: 5px;"> Registration No. (Attorney/Agent) 29,621 </td> </tr> <tr> <td>Signature</td> <td colspan="2" style="padding: 5px;"> </td> <td style="padding: 5px;"> Date February 27, 2002 </td> </tr> </table>				<input checked="" type="checkbox"/> Customer Number or Bar Code Label <small>(Insert Customer No. or Attach bar code label here)</small>	020457	or <input type="checkbox"/> Correspondence address below	Name ANTONELLI, TERRY, STOUT & KRAUS, LLP			Address			City		State _____	Zip Code _____	Country		Telephone (703) 312-6600	Fax (703) 312-6666	Name	Carl L. Brundidge		Registration No. (Attorney/Agent) 29,621	Signature			Date February 27, 2002
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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

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<p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit overpayments to:</p> <p>Deposit Account Number 01-2135 Deposit Account Name Antonelli, Terry, Stout & Kraus, LLP</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant Claims small entity status See 37 CFR 1.27</p>			<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee (\$)</th> <th colspan="3">Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>105</td> <td>130</td> <td>205</td> <td colspan="3">65 Surcharge – late filing fee or oath</td> <td></td> </tr> <tr> <td>127</td> <td>50</td> <td>227</td> <td colspan="3">25 Surcharge – late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>139</td> <td>130</td> <td>139</td> <td colspan="3">130 Non-English specification</td> <td></td> </tr> <tr> <td>147</td> <td>2,520</td> <td>147</td> <td colspan="3">2,520 For filing a request for ex parte reexamination</td> <td></td> </tr> <tr> <td>112</td> <td>920*</td> <td>112</td> <td colspan="3">920* Requesting publication of SIR prior to Examination action</td> <td></td> </tr> <tr> <td>113</td> <td>1,840*</td> <td>113</td> <td colspan="3">1,840* Requesting publication of SIR after Examiner action</td> <td></td> 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SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Carl I. Brundidge	Registration No. (Attorney/Agent)	29,621	Telephone	703-312-6600
Signature					Date February 27, 2002

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